

January 2017 Newsletter

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Director's Reminder



NSF Proposal & Award Policies & Procedures Guide (Effective Jan 30, 2017)

A revised version of the NSF Proposal & Award Policies & Procedures Guide (**PAPPG**), (**NSF 17-1**) has been issued. The PAPPG has been modified in its entirety, to remove all references to the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG). The document will now be referred to solely as the NSF Proposal & Award Policies &

Procedures Guide.

Given the number of important revisions, the community is strongly encouraged to review the by-chapter summary of changes provided at the beginning of the PAPPG.

The new PAPPG will be effective for proposals submitted, or due, on or after January 30, 2017. Note, while this version of the PAPPG becomes effective on January 30, 2017, in the interim, the guidelines



Featured Funding Opportunity



American Chemical Society's Grant Programs: Research Grants for Non-Doctoral Departments



ACS PRF research grant programs support fundamental research in the petroleum field, and development of the next generation of engineers and scientists through advanced scientific education. Research areas supported include chemistry, the earth sciences, chemical and petroleum engineering, and related fields such as polymers and materials science. Membership in the American Chemical Society is not a requirement or a factor in awarding ACS PRF grants.

Proposals Submission Window: 2/13/2017 - 3/17/2017

To see the full details for the upcoming Research Grants for Non-Doctoral Departments funding opportunities click [here](#).

Please **note the following limitations** to applying for either the Undergraduate Research (UR) program and the Undergraduate New Investigator (UNI) Program:

- A principal investigator (lead PI or Co-PI) may have only one research proposal active or under consideration per meeting.
- Principal investigators (lead PI or Co-PI) may not hold more than one active PRF research grant at a time.
- Principal investigators (lead PI or Co-PI) with an active PRF research grant, including a grant on time extension, may not submit an application for a new grant.
- (Only applies to UR program): Principal investigators (lead PI or Co-PI) may have only one UR grant application considered in a 12-month period. Thus, a principal investigator (lead PI or Co-PI) who has an UR proposal denied may not submit another UR proposal until the Advisory Board meeting one year later.



NIH Updates



**National Institutes
of Health**

PI's May Now Use Longer Titles - Up to 200 Characters

NIH's eRA systems can now accept project titles of up to 200 characters. That's right - eRA systems will no longer truncate your project titles to 81 characters. Over the years we have seen some rather unfortunate truncations so this is a welcome and long overdue change.

Keep in mind that when submitting a Revision Application, you must use the exact project title displayed in eRA Commons for the awarded application. If the project title of the awarded grant was truncated to

NIH & AHRQ Update Font Guidelines for Applications to Due Dates On or After January 25, 2017

Notice Number: [NOT-OD-17-030](#)

This NIH Notice replaces all previous font guidance. It updates the recommended font list and no longer requires that black text be used within grant application attachments. For applications submitted for due dates on or after January 25, 2017, text in PDF attachments must follow these minimum requirements:

- **Text Color:** No restriction. Though not required, black or other high-contrast text colors are recommended since they print well and are legible to the largest audience.
- **Font Size:** Must be 11 points or larger. Smaller text in figures, graphs, diagrams and charts is acceptable, as long as it is legible when the page is viewed at 100%.
- **Type Density:** Must be no more than 15 characters per linear inch (including characters and spaces).
- **Line Spacing:** Must be no more than six lines per vertical inch.

Since some PDF converters may reduce font size, it is important to confirm that the final PDF document complies with the font requirements.

The following fonts are recommended, although other fonts (both serif and non-serif) are acceptable if they meet the above requirements:

- Arial
- Georgia
- Helvetica
- Palatina Linotype

Legibility is of paramount importance. Applications that include PDF attachments that do not conform to the minimum requirements listed above may be withdrawn from consideration.

Related Resources:

- [Format Attachments](#) web page
- [Font FAQs](#)



NSF Updates



Identify Errors Impacting your NSF Proposal

NSF has an automated process to help PIs identify proposal errors prior to submission in Fastlane. The next release of auto-compliance checks will support additional standard proposal type requirements and include checks for new types of proposals. NSF [plans to implement FastLane changes effective January 30, 2017](#) that include the new compliance checks. Checks are run during “Check Proposal,” “Forward to SPO,” and “Submit Proposal.”



See the NSF website for a complete listing of [current automated compliance checks](#).

NSF Announces Two New Types of Proposals: GOALI and RAISE

Two new types of proposals will be incorporated into the PAPPG with new required supporting documents and automated proposal compliance checks.

- **Grant Opportunities for Academic Liaison with Industry (GOALI):** GOALI is a type of proposal that seeks to stimulate collaboration between academic research institutions and industry. The new GOALI automated compliance checks will require that at least one Co-Principal Investigator (PI) exists on the proposal and the "GOALI-Industrial PI Confirmation Letter" is uploaded at the time of proposal submission. All automated compliance checks applicable to Research proposals will apply to GOALI proposals. GOALI proposals were previously submitted via a program solicitation.
- **Research Advanced by Interdisciplinary Science and Engineering (RAISE):** The RAISE proposal type supports bold, interdisciplinary projects. The new RAISE automated compliance checks will require that a "RAISE-Program Officer Concurrence Email" is uploaded at the time of proposal submission, the proposal award budget is less than or equal to \$1 million, and the proposal duration is less than or equal to 5 years. All automated compliance checks applicable to Research proposals will apply to RAISE proposals.

Note: The Facilitation Awards for Scientists and Engineers with Disabilities (FASSED) type of proposal will be included on the FastLane dropdown menu. All automated compliance checks applicable to Research proposals will also apply to FASSED proposals.



Timely Topic



President Signs Cures Act: Provisions May Reduce Burdens

On December 13th President Obama signed into law the 21st Century Cures Act, a sweeping law of nearly 1,000 pages that contains a variety of provisions of interest to research compliance officials. For example, the law calls for the creation of a Research Policy Review Board to advise the government on regulations and requires NIH to reduce administrative burdens, particularly with regard to subrecipient monitoring and financial conflict of interest reporting. Many aspects of the law embody the recommendations contained in various reports, including most recently from the National Academy of Sciences. The law also requires NIH "to convene a working group to develop recommendations for a formal policy to enhance the rigor and reproducibility of NIH-funded scientific research." Within 18 months, NIH is to "consider the working group's recommendations and develop or update policies as appropriate within 18 months," while working group itself will "report to Congress on recommendations and any subsequent policy changes within two years."

The following document contains funding priority areas associated with the Cures

Act: <http://tinyurl.com/zvow9ot>



Compliance Corner



HHS and 15 Other Federal Departments & Agencies Announce Revisions to the Federal

Policy for the Protection of Human Subjects (The Common Rule)



The U.S. Department of Health and Human Services (HHS) and fifteen other Federal Departments and Agencies have announced revisions to modernize, strengthen, and make more effective the Federal Policy for the Protection of Human Subjects that was originally promulgated as a Common Rule in 1991. This final rule is intended to better protect human subjects involved in research, while facilitating valuable research and reducing burden, delay, and ambiguity for investigators. These revisions are an effort to modernize, simplify, and enhance the current system of oversight.

The final rule has been put on public display on January 18, 2017, by the Office of the Federal Register and can be accessed [here](#). It is expected that the final rule will be published in the Federal Register on January 19, 2017.

HHS released a Press Release about the final rule that can be accessed [here](#).



New Video Case Studies on RCR Topics Released

The ORI Division of Education and Integrity (DEI) has released a new series of video case studies to address integrity issues faced by those involved in the research endeavor.

The videos follow the story of Dr. Jeff Thompson and his budding research lab. Amit, a postdoctoral fellow, and Ashley, a graduate student, are navigating challenges inherent to working in a competitive research environment. By touching upon topics that affect researchers at all levels of their careers, such as mentoring, authorship and publication practices, data integrity, and possible research misconduct, these scenarios encourage viewers to consider how to make responsible choices at every turn.

To view the full list of case study videos click [here](#).

The video case studies can be viewed and downloaded from the ORI website: <https://ori.hhs.gov/videos/case-study>

- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)
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February 2017 Newsletter

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3. **NIH Updates-** New Appendix Policy
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Director's Reminder



Notify the Office of Sponsored Programs Early of Any External Collaboration

Often the inclusion of an external collaborator on a proposal can delay a submission timeline. Please notify the OSP early-on of any external collaboration so that the OSP can help manage some of the possible hurdles below:



1) **Financial Conflict of Interest (FCOI)-** If the collaborator is partially responsible for the design, conduct, or reporting of a proposal that is federally-funded research or PHS or EPA funded then the collaborator may need to submit a [JMU Financial Conflict of Interest Disclosure Form](#) to the Office of Research Integrity (ORI). If the external collaborator prefers, they can follow their own institution's Conflict of Interest requirements instead of

submitting a disclosure form to JMU. To do so, their authorized official will need to sign and complete the [External Collaborator Certification](#). On this certification, their authorized official would need to certify that they are registered in the [FDP Institutional Clearinghouse](#) and that the investigator(s) who will participate in this proposal will comply with the Conflict of Interests policy(ies) of their institution. Please see the following URL for additional information: <http://www.jmu.edu/researchintegrity/fcoi/fcoiform.shtml>

2) **Subrecipient vs. Contractor Checklist**- For each external collaborator on a budget, the Principal Investigator should complete the Subrecipient vs. Contractor Checklist and email the checklist to the OSP to confirm that the entity is properly classified as either a contractor or subrecipient. This classification affects the legal relationship JMU will have with the entity, as well as the indirect cost calculation and budget classification. For additional information, please see the [Collaboration Guide](#)

3) **Budgeting for Contractors**- With the new Federal regulations (the "[Uniform Guidance](#)" - [2 CFR 200](#) - Replacing OMB Circulars A-21 and A-110, among others), we have had to make some changes on how we budget for contractors. JMU has to document 'best value' for contractors. Procurement performs this service rather than OSP. Therefore at the time of proposal, we cannot preselect a contractor for goods and services. **They may only be identified as a placeholder in the proposal.** For additional information, please see the [Pre-Award Guide for Procurement](#). If awarded, the PI will need to plan to work with Procurement.

4) **Sponsor Requirements (Letters of support, biosketches, etc.)** - Please provide the collaborator's contact information to the OSP, so we may assist in coordinating required materials prior to proposal submission.



Do You Have Students on Your NIH Grant?

They must be registered in eRA Commons and have a user credential prior to submitting your programmatic reports. It is best practice to establish these accounts at the outset of the project so there are no delays when it comes time to report.

Please contact the OSP with your students' full names, email addresses and whether they are graduate or undergraduate students. We will set them up with user accounts.

Who needs an eRA Commons Account for Progress Reporting?

You must include eRA Commons credentials for personnel with the following roles who participate in a NIH funded project for at least one person month:

- Project Director/Principal Investigator (PD/PI) and any multiple- PD/PIs
- Undergraduate
- Graduate Student
- Postdoctoral





Virginia Foundation for the Humanities



[Virginia Foundation for the Humanities \(VFH\)](#)

accepts proposals to develop public humanities programs for audiences in Virginia.

Open Grant Program

Open to proposals on a wide range of subjects, for projects in any format, and for amounts typically up to \$10,000.

Deadline: **April 15, October 15**

Draft proposals are strongly encouraged.

Please contact VFH staff at vfhgrants@virginia.edu or 434-924-7202 for assistance.

Discretionary Grant Program

Smaller grants of up to \$3,000. There is no deadline for this program; applications are accepted at any time. Applicants should contact [VFH staff](#) in advance before submitting a Discretionary Grant proposal.

VFH Areas of Interest:

Six key areas of priority have been established by the VFH for its work in Virginia, and these are also subjects of particular interest for the Grant Program. They include:

- Books, Reading, and Literacy
- Rights and Responsibilities
- Media and Culture
- Violence and Community
- Science, Technology, and Society
- Virginia History

Other areas of long-term interest and commitment for the VFH and its grant programs include:

- Teacher education programs (especially those related to Virginia's Standards of Learning)
- African American history and culture
- Native American history and culture
- The history and culture of other minority communities in Virginia
- Virginia's folklife and traditional culture(s)
- The future of rural Virginia



NIH Updates





New Appendix Policy

NIH has eliminated most appendix materials. This change is intended to rectify inequities in the peer review process that can arise from submission of inappropriate or excessive appendix materials by some applicants and consideration of appendix materials in peer review by some, but not all reviewers.

For Due Dates on or after January 25, 2017, you may **only include the following in the appendix section of your application:**

For applications proposing clinical trials (*unless the funding opportunity announcement provides other instructions for these materials*):

- Clinical trial protocols
- Investigator's brochure from Investigational New Drug (IND), as appropriate

For all applications:

- Blank informed consent/assent forms
- Blank surveys, questionnaires, data collection instruments
- Funding Opportunity Announcement (FOA) specified items
- If appendix materials are required, review criteria for that FOA. Applications submitted without those appendix materials will be considered incomplete and will not be reviewed.

Consequences for submitting disallowed appendix materials

Applications submitted for due dates on or after January 25, 2017 will be withdrawn and not reviewed if they are submitted with appendix materials that are not specifically listed in this Notice or the FOA as allowed or required.

Reference: [NOT-OD-16-129](#)



NSF Updates



NSF Public Access Requirements

Going back to 2013 federal agencies have had to roll out requirements to make the published results of federally funded research freely available to the public within one year of publication. This resulted in requirements for applicants to include data management plans in applications to better account for and manage the digital data resulting from federally funded scientific research.



Applicability: If you are the Principal Investigator or Co-Principal Investigator of an NSF award that resulted from a proposal that was submitted or due on or after January 25, 2016, then this requirement applies to you.

As outlined in section 3.1 of the plan "[Today's Data, Tomorrow's Discoveries](#)," NSF requires that either the version of record or the final accepted manuscript in peer-reviewed scholarly journals and papers in juried conference proceedings or transactions must:

- Be deposited in the NSF public access repository ([NSF-PAR](#)) on Research.gov, see tutorial link below;
- Be available for download, reading and analysis free of charge no later than 12 months after initial publication;
- Possess a minimum set of machine-readable metadata elements in a metadata record to be made available free of charge upon initial publication;
- Be managed to ensure long-term preservation; and
- Be reported in annual and final reports during the period of the award with a persistent identifier that provides links to the full text of the publication as well as other metadata elements.

NSF Public Access Policy: Frequently Asked Questions, [NSF 16-009](#)

Depositing Publications [Tutorial](#)



Timely Topic



Grant Resource Center Services Overview

During the January Symposium the Center for Faculty Innovation's hosted the Grants Resource Center (GRC) to provide an overview of the resources their [website](#) and staff can provide to faculty interested in pursuing external funding opportunities. Below is a glimpse of GRC's resources discussed during the workshop:

Publications

GRC's three regular publications provide members with federal and private funding opportunity alerts, federal meeting coverage, and successful proposal development strategies and advice.

- **GrantWeek:** An e-newsletter about program details, pending legislation and federal appropriations, proposed changes to agency rules, and member spotlights. Published every Monday.
- **Bulletin:** Synopsizes hundreds of funding alerts from Grants.gov, Federal Register, and Federal Business Opportunities. Published every Tuesday and Friday.
- **Deadlines:** Programs with competitions closing in the next 30/60/90 days. Published monthly.

GrantSearch Database

This database contains **over 1,500 funding opportunities** that are cyclical, make multiple awards, and are national/regional in scope. You can search funding opportunities by:

academic subject, activity, funding sponsor, deadline month, and keyword.

Faculty Alert System

Faculty and staff can sign up for monthly email notifications about funding opportunities related to their interests.

Staff Services

GRC's staff is available to answer funding opportunity-related questions, perform funding opportunity searches, obtain funded proposals for reference, assist members with scheduling agency visits, meet with faculty to help them determine funding possibilities and prepare for meetings with program officers, and promote networking among research administrators, grant program staff, and grant recipients.

To gain access to the Grants Resource Center please contact the [Office of Sponsored Programs](#) for JMU's user information.

If you would like additional information about GRC's services and resources or are interested in hosting a department specific GRC training session, please contact [Megan Resch](#) in the Office of Sponsored Programs.

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March 2017 Newsletter

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2. **Grants.gov Update**- Workspace is Coming
3. **NIH Update**- eRA Enhancements & NIH Operating Under a CR
4. **Timely Topic**- Research Terms and Conditions Implementing the Uniform Guidance
5. **Compliance Corner** - NSF Update



Director's Note



New Tool: Do I Need to Run My Research Proposal Through the Office of Sponsored Programs to Obtain University Approval Prior to Submission?

In most cases, the answer to this question is YES, however there are limited circumstances when the Office of Sponsored Programs (OSP) approval is not required to submit a funding application.

We have recently added a decision tree to our [Forms, Tools, and Resources](#) webpage that can help principal investigators determine if a research proposal can be submitted without going through the OSP. **NOTE: This also applies to student-led projects. In those cases, if the funding is to be deposited into the university's finance system for the student's use, then the project must be approved PRIOR to submission and the student must identify a faculty mentor to be the PI of record.**

The direct URL to the decision tree is: http://www.jmu.edu/sponsoredprograms/forms-tools-resources/Decision_Tree_Is_University_Approval_Required.docx

If a person is receiving the funding on their own, the OSP does not need to be involved. This must mean that the employee is not representing that JMU is part of the work. If they are offering themselves as a JMU employee and/or referencing/using resources provided to them as a JMU employee then the funds should flow through the OSP and university approval must be obtained prior to the proposal submission.

What About Concept Papers?

Some sponsors request a preliminary proposal/White Paper/Concept Paper before a full proposal is developed. If no budgetary information (i.e.: budget totals, cost sharing, etc.) is provided and there is no reference to quantified personnel effort or space, then the preliminary proposal does not need to run through the OSP. It is fine to submit just the technical information to the sponsor.

For further information please see [JMU Policy #1304](#) and [JMU Policy #2201](#).

When in doubt, please contact us! 8-6872 or jmu_grants@jmu.edu



Grants.gov Update



Workspace is Coming

Grants.gov's application method of submitting a single PDF application (i.e. Legacy PDF application package) is being phased out and Grants.gov Workspace becomes the new, standard way to apply. For any funding opportunities where applicants have downloaded the legacy PDF application



package, they will be able to continue to submit that package until March 31, 2018. The Office of Sponsored Programs (OSP) will be responsible for setting up the Workspace application for the chosen funding opportunity and for providing other organizational users (i.e. Principal Investigators) access. All users will need a Grants.gov user account. We recommend that PI's obtain a Workspace account now. Instructions on how to register for a Grants.gov account are available at the following

URL: https://www.grants.gov/help/html/help/Register/Create_a_Grants.gov_Account.htm

To register, users must enter JMU's Data Universal Numbering System (DUNS) #87-932-5355. After creating an account, the OSP will receive an email notification and will assign the appropriate role to the account.

For more information about Grants.gov Workspace, please visit the various Workspace resources:

- [Grants.gov Workspace Overview](#)

- [Grants.gov Workspace Training Video Series](#)
- [Grants.gov Community Blog articles on Workspace](#)

Feel free to contact OSP with questions as we navigate to this new method of submitting Grants.gov applications.



NIH Update



eRA Enhancements: Two More Prior Approval Requests Go Electronic March 2, 2017

The ability to request a No Cost Extension requiring a prior approval and the ability to request a change of PD/PI will be available through the Prior Approval section in eRA Commons after a release schedule for Thursday, March 2, 2017.

Features

Prior Approval Request for No Cost Extension (NCE)

Signing Officials (SOs) will be able to request an NCE electronically through eRA Commons via Prior Approval.

When is a grant eligible for a NCE through Prior Approval?

- When a NCE under expanded authority has already been used and the grant is within 90 days of the project end date.
- When the grantee is not under expanded authority and the grant is within 90 days of the project end date.
- When the project end date has expired and has not been closed or has not entered unilateral closeout, whichever comes first.

When is a grant NOT eligible for a NCE through Prior Approval?

- When a NCE under expanded authority has never been requested and the grant is within 90 days of the project end date. In this case,
 - the NCE will be processed normally through the Extension link in Status.
- When the grant is closed.
- When the grant is a fellowship grant.

What information will an SO need to provide?

The NCE request form includes:

- Request Detail - Here you will be asked such things as the number of months you wish to extend the project end date; the amount of unobligated money still available, etc.
- Three PDF upload fields: Progress Report, Budget Document, Justification Document

Contact the awarding IC for details on the content of these documents.

Prior Approval Request for Change of PD/PI

Signing Officials (SOs) can initiate the request for a Change of Program Director/Principal Investigator (PD/PI) electronically through eRA Commons via Prior Approval.

The following conditions must be met for a grant to be eligible for a Change of PD/PI Request:

- The grant is awarded, and the Project Period End Date has not passed
- The grant is not a Fellowship or Career

The details for the request require some basic information:

- Who is being replaced, removed or added to the grant?
- What will their level of effort be?
- What is the effective start date for the requested changes?

Additionally, some files will need to be uploaded as an attachment to the request.

- Biosketch for any new PD/PI
- Other Support for any new PD/PI
- Justification Document

NIH Operating Under a Continuing Resolution



The National Institutes of Health has issued ([NOT-17-048](#)). The notice states:

The Department of Health and Human Services (HHS), including NIH, operates under the "Further Continuing Security Assistance Appropriations Act, 2017," ([Public Law 114-254](#)) signed by President Obama on December 10, 2016. The continuing resolution (CR) continues government operations through April 28, 2017 at 99.8099 percent of the FY 2016 enacted level.

Continuing the procedures identified under [NOT-OD-17-001](#) and [NOT-OD-16-046](#) and consistent with NIH practices during the CRs of [FY 2006 - 2016](#), the NIH will issue non-competing research grant awards at a level below that indicated on the most recent Notice of Award (generally up to 90% of the previously committed level). Upward adjustments to awarded levels will be considered after FY 2017 appropriations are enacted, but NIH expects institutions to monitor their expenditures carefully during this period. All legislative mandates that were in effect in FY 2016 (see [NOT-OD-16-044](#) and [NOT-OD-16-048](#)) remain in effect under this CR.



Timely Topic



Research Terms and Conditions



Implementing the Uniform Guidance

The [Final Notice of Research Terms and Conditions \(RTC\) To Address and Implement the Uniform Administrative Requirements, Cost Principals, and Audit Requirements for Federal Awards Issued by the U.S. Office of Management and Budget \(OMB\)](#) was published in the March 14 *Federal Register*.

These [RTCs](#) are the successor to the terms developed with the [Federal Demonstration Partnership](#), and they have been written to comply with the requirements set out in the [Uniform Guidance \(2 CFR 200\)](#). Federal agencies are planning to [implement the RTCs](#) at different dates in 2017, see below.

Agencies participating, with implementation dates are:

- Department of Agriculture / National Institute of Food and Agriculture (June 1, 2017)
- Department of Commerce (October 1, 2017)
- Department of Energy (April 3, 2017)
- Department of Health and Human Services / National Institutes of Health (April 3, 2017)
- Department of Homeland Security (to be determined)
- Department of Transportation (October 1, 2017)
- Environmental Protection Agency (December 2017)
- National Science Foundation (April 3, 2017)
- National Aeronautics and Space Administration (October 1, 2017)

Note that the Department of Defense is not included; but will implement award terms in compliance with 2 CFR 200.

While the Uniform Guidance outlines provisions that are specific to research, these terms and conditions:

- Incorporate the entire Uniform Guidance by reference, clarifying or supplementing select provisions where appropriate and consistent with government-wide research policy.
- Incorporate the latest version of the [Frequently Asked Questions](#) for the Office of Management and Budget's Uniform Administrative Requirements, Cost Principals, and Audit Requirements for Federal Awards at [2 CFR 200](#).
- Apply to an award when included as part of the award or when incorporated in the award by reference. Use of the RTCs is envisioned as a streamlined approach that supports the implementation of the Uniform Guidance by providing clarification, supplementary guidance, and, where appropriate, selected options, while meeting the spirit and intent of a uniform implementation.

In addition to the RTCs, three companion resources will be developed: Appendix A, Prior Approval Matrix; Appendix B, Subaward Requirements Matrix; and Appendix C, National Policy Requirements Matrix.

Resources:

- [Final Notice of Research Terms and Conditions \(RTC\) To Address and Implement the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for](#)

Federal-Wide Research Terms and Conditions (hosted by NSF):

- [Research Terms and Conditions Agency Implementation Statements](#)
- [Research Terms and Conditions - April 2017 \(side by side with Uniform Guidance\)](#)
- Research Terms and Conditions Appendix B Subaward Requirements - April 2017 (coming soon)
- [Research Terms and Conditions Appendix C National Policy Requirements - April 2017](#)
- Prior Approval Matrix



Compliance Corner



NSF Update

Human Subjects

There has long been a section in the Code of Federal Regulations (CFR) - 45 CFR 690.118 - that provides guidance for proposals that lack definite plans for human subject research. NSF did not want to be in the position of requiring and Institutional Review Board (IRB) approval for a project that, at the time of submission, did not have definite plans for use of human subjects. Such proposals do not need to be reviewed by an IRB prior to an award being made. For projects that lack definite plans for the use of human subjects, their data or their specimens, pursuant to 45 CFR 690.118, NSF will accept a determination notice that establishes a limited time period under which the Principal Investigator (PI) may conduct preliminary or conceptual work that does not involve human subjects.



In those cases the university will provide a signed letter to the NSF program officer based on a [template](#) provided by NSF. The Office of Sponsored Programs will coordinate the approval of the letter with the Office of Research Integrity.

Except for research exempted or waived, no human subjects may be involved in any project supported by the award until the project has received approval by an IRB. The NSF Division of Grants and Agreements will include an award clause identifying that any work with human subjects, including recruitment, may not be conducted prior to an IRB approval. The complete NSF policy regarding human subjects is contained in the PAPPG, Chapter II.D.5.

Vertebrate Animals

With regard to vertebrate animals, additional clarity has been added to the PAPPG regarding departures from the Guide for the Care and Use of Laboratory Animals (Guide). The revised language states that any project proposing the use of vertebrate animals for research or education must comply with the provision in the Public Health Service (PHS) Assurance for Institutional Commitment that requires the submitting organization to establish and maintain a program for activities involving animals in accordance with the Guide. Taxon-specific guidelines may be used as supplemental references. Departures

from the Guide must be approved by the Institutional Animal Care and Use Committee (IACUC) and be based on scientific, veterinary, medical or animal welfare issues.

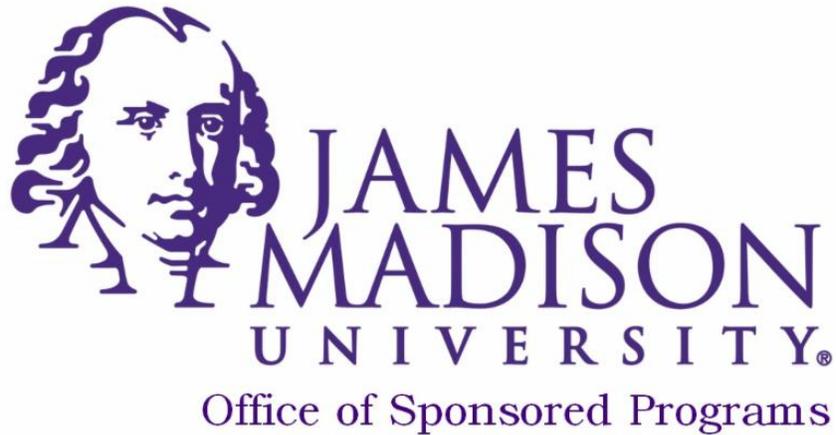
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April 2017 Newsletter

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2. **NSF Update**- Collaborators and Other Affiliations Requirement for NSF FastLane Submissions
3. **NIH Update**- Stance on Interim Research Products & NIH Regional Seminar
4. **Compliance Corner** - Report on Research Compliance & OHRP Incorporates Spanish Language Materials



Director's Note



New Tool: Decision Tree to Determine Student Payment Types and Processes

The Office of Sponsored Programs recently added a decision tree to our [Forms, Tools, and Resources](#) webpage that can help principal investigators determine how students on their grant can be paid and through what offices. This can be a useful guide to help you identify certain factors when building your budget at the proposal stage and what your next steps should be to get your student(s) paid after your proposal has been funded.

The direct URL to the decision tree is: https://www.jmu.edu/sponsoredprograms/forms-tools-resources/Student%20Payment%20Decision%20Tree_revApril2017.pdf

Questions? Please contact us! 8-6872 or jmu_grants@jmu.edu



NSF Update

Collaborators and Other Affiliations Requirement for NSF FastLane Submissions, Effective April 24



NSF is initiating a new pilot on submission of Collaborators and Other Affiliations (COA) information. **Effective April 24, 2017**, NSF will require the use of the spreadsheet linked below as a template for identifying COA information.

This change is intended to 1) standardize collaborator and other affiliation information across the Foundation and 2) ensure that such information is submitted in a searchable format. Presently NSF requires principal investigators (PIs), Co-PIs, and other senior project personnel to no longer include collaborator and other affiliation information in their biosketches, but rather to individually upload this information as a Single Copy Document, using the template below.

The content requirements specified in the spreadsheet are consistent with [Chapter II.C.1.e](#) of the *NSF Proposal and Award Policies and Procedures Guide*. The spreadsheet has been developed to be fillable, however, **the content and format requirements must not be altered by the user**. This spreadsheet must be saved in .xlsx or .xls format and directly uploaded into FastLane as a Collaborators and Other Affiliations Single Copy Document. It should be noted that the template has been tested in Microsoft Excel, Google Sheets, and LibreOffice. FastLane will convert the uploaded .xlsx or .xls files to .pdf. Using the .xlsx or .xls format will enable preservation of searchable text that otherwise would be lost. **It is imperative that this document be uploaded in .xlsx or .xls only**. Uploading a document in any format other than .xlsx or .xls may delay the timely processing and review of your proposal.

- [New Collaborators and Other Affiliations Information Pilot](#)
- [Collaborators and Other Affiliations Information Template](#)



NIH Update

Stance on Interim Research Products

The role of preprints - complete and public draft manuscripts which have not gone through the formal peer review, editing, or journal publishing process - continues to be a hot topic in the biological and medical sciences. In January, three major biomedical research funders - [HHMI](#), the [MRC](#), and



the [Wellcome Trust](#), changed their policies to allow preprints to be cited in their progress reports and applications.

This raises questions about the broader class of interim research products, and the role they should play in NIH processes. Other interim products include products like preregistration of protocols or research methods, to publicly declare key elements of a research project in advance. While, under current policy, NIH does not restrict items cited in the research plan of an application, applicants cannot claim preprints in biosketches or progress reports.

There is a **NEW GUIDANCE** on how NIH applicants will have the option, for applications submitted for due dates of **May 25 and beyond**, to cite interim research products in applications. As described in the NIH Guide Notice issued 3/24/2017 ([NOT-OD-17-050](#)), citations of interim research products in biosketches should follow citation formats that include citation of the object type (e.g. preprint), a digital object identifier (DOI) in the citation, and information about the document version. This guidance is also incorporated into NIH application instructions, which were just updated last week. See [FAQs](#) for more information.

NIH Item of Interest: Unique Opportunity to Increase Your Knowledge of NIH Grants Administration



This October, NIH will be hosting a [Seminar on Program Funding and Grants Administration](#) in Baltimore and [registration is now open](#). This seminar will be devoted to helping administrators and researchers, especially those who are new to the NIH grants process, obtain the knowledge necessary to write, submit and administer NIH grant applications.

Folks do not walkaway under-informed. With tracks for both administrators and investigators, participants' brains will be filled with a A LOT of information.

Follow this [link](#) to view the 2-day seminar's agenda and the type of learning opportunities that will be provided.



Compliance Corner



Agencies Post More Documents Related to Updated Research Terms and Conditions

On the heels of the of the March 14 publication of revised research terms and conditions (RTCs) to comply with

updated requirements for federal awards, several "agency specific" requirements and "prior approval matrix" were issued March 21. The additional requirements for how the Office of Management and Budget Uniform Guidance (UG) will be implemented were issued only by NIH, the National Science Foundation (NSF) and the Department of Energy (DoE). Like the overlay and other documents previously posted, these demonstrate deviations in how agencies will implement the UG. Eight agencies have pledged to implement the RTCs by a date certain this year ([RRC 4/17, p.4](#)). NSF and NIH waive prior approval for "project costs incurred 90 calendar days before" an award is made, while DoE does not, for example. Similarly, NIH does not require prior approval for the direct charging of "special arrangements and alteration costs incurred specifically" for federal award, while the others do.

Link: <https://www.nsf.gov/awards/managing/rtc.jsp>

The Office for Human Research Protections Incorporates Spanish Language Materials



The Office for Human Research Protections (OHRP) is excited to announce the creation of Spanish language materials on its public outreach website, **About Research Participation**, www.hhs.gov/about-research-participation. The public outreach resources are intended to help potential volunteers better understand research and find the information they need to decide whether to participate in research. The new materials include Spanish versions of short videos about participating in research, and a printable list of questions that potential volunteers can ask researchers.

Trial coordinators and research staff also could use these materials to facilitate and improve the informed consent process. OHRP intends for these materials to be a valuable resource for the research community as well as the general public. Please consider sharing this information with other human research protection professionals and programs!

-
- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)

Notice:

Business Hours: Monday - Friday, 8:00am - 5:00pm

External Funding Advisor

Notice: The Office of Sponsored Programs will be closed on June 9, 2017 for staff to attend a training seminar. Please let us know ASAP if you have deadlines which coincide with this date.



May 2017 Newsletter

In this Issue:

1. **Director's Notes**- Reminders from SPA & OSP Survey
2. **OSP Workshops** - Introducing GRC Workshops
3. **NIH Update**- NIH to Receive Additional Funding



Director's Notes



Reminders from Sponsored Programs Accounting

Spring Effort Reporting Cycle Ends May 15th

Please make sure that all Salary Transfers for salaries through 5/15/2017 are completed by **June 1st** in order to be reflected in this effort reporting period. If you have questions regarding the effort reporting requirements please contact Kyra Shiflet at 8-7108 or shiflekl@jmu.edu



Upcoming Accounts Payable and Finance's "Downtime"

Be aware of upcoming Fiscal Year End Deadlines. Review the [2017 Year End Memo](#) to



We Want Your Feedback! Care to Share Your Experience?

Please complete our brief customer service survey to allow us to better serve your needs. The survey results are anonymous and should not take more than a few minutes to answer. The Office of Sponsored Programs thanks you in

advance for your input!

Link to survey: http://jmu.co1.qualtrics.com/jfe/form/SV_dgUqJtCXyST0Ihn



Office of Sponsored Programs' Workshops



Introducing Grants Resource Center Workshops!

The Office of Sponsored Programs is offering custom workshops for faculty and staff to receive an overview of how to best take advantage of the Grants Resource Center's resources and services.



James Madison University's subscription to the Grants Resource Center provides a comprehensive suite of tools, services and expertise to improve your college or department's efficiency and success in securing competitive grants from federal and private sponsors.

For more information and to view our available dates for the summer click [here](#).



NIH Update



National Institutes of Health to Receive Additional Funding

Last week [The New York Times](#) and [Politico](#) reported that a budget deal between Democratic and Republican congressional leaders and the Trump administration -- to keep the government running through September -- provides more money for the National Institutes of Health. [Politico](#) reported that the deal includes an extra \$2 billion for the NIH, which is particularly significant since the

Trump administration is pushing for a major cut in spending on the agency.

- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)
-

Notice:

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Office of Sponsored Programs | James Madison University | 540-568-6872 | jmu_grants@jmu.edu |

Visit our website



June 2017 Newsletter

In this Issue:

1. **Featured Funding Opportunity** - NSF Faculty Early Career Development Program (CAREER)
2. **NSF Updates** - Relocation, FY 16 Highlights, New COA Template & Semiannual Report to Congress
3. **NIH Updates**- Podcasts and Tutorials



Featured Funding Opportunity



NSF Faculty Early Career Development Program (CAREER)

With just one month until the CAREER deadline, please be sure to contact The Office of Sponsored Programs (OSP) to let us know of your intention to submit and to review these resources.

CAREER program solicitation [NSF 17-537 \(NEW!\)](#)

IMPORTANT INFORMATION AND REVISIONS NOTES

- Eligibility requirements have been revised to clarify the required early-career status of applicants.
- Support for senior personnel other than PI that is commensurate with a limited collaborative role in the project is now allowed in the budget of the proposal or of a sub recipient.

Deadlines:

- July 19, 2017 - BIO, CISE, EHR
- July 20, 2017 - ENG
- July 21, 2017 - GEO, MPS, SBE

Talk to Division Contacts for more information

<http://www.nsf.gov/crssprgm/career/contacts.jsp>

[Frequently Asked Questions](#) about the CAREER program; and
[NSFCAREER Program Webinar Presentation Slides](#)



NSF Updates



Grantees Urged to Prepare for NSF's Relocation

Although the National Science Foundation (NSF) has not issued formal policy, agency officials are encouraging grant recipients to submit applicable post-award reports and grant applicants to turn in proposals for awards prior to the agency's move to its new headquarters later this year.

NSF will be moving from its current headquarters office in Arlington, VA, to its new headquarters in Alexandria, VA, this fall. The phased-in move should be completed by October, starting with relocation - and temporary shutdown - of its data center from July 1-4.

This move will impact everything at NSF. Proposal submission deadlines and application reviews are most likely to be moved forward to an earlier date, and funding drawdown schedules will be altered. Award recipients should keep an eye out for email notifications sent by program managers asking to submit reports to NSF early, even if they are not due until late August.

FY 2016 Performance and Financial Highlights

NSF has recently released their *FY 2016 Performance and Financial Highlights*. Included in their highlights is a breakdown of the number of NSF competitive proposals, new awards, and funding rates starting at fiscal year 2012 and ending at fiscal year 2016:



Fiscal Year 2012: NSF received 48,623 competitive proposals, 11,534 of which were awarded, providing a 24% funding rate.

Fiscal Year 2013: NSF received 49,014 competitive proposals, 10,844 of which were awarded, providing a 22% funding rate.

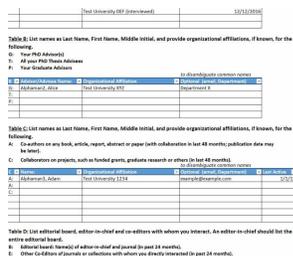
Fiscal Year 2014: NSF received 48,074 competitive proposals, 10,981 of which were awarded, providing a 23% funding rate.

Fiscal Year 2015: NSF received 49,635 competitive proposals, 12,016 of which were awarded, providing a 24% funding rate.

Fiscal Year 2016: NSF received 49,306 competitive proposals, 11,893 of which were awarded, providing a 24% funding rate.

To view the full announcement and charts follow this link:

https://www.nsf.gov/pubs/2017/nsf17003/nsf17003.pdf?WT.mc_id=USNSF_124



The image shows a portion of an NSF proposal form with three tables for COA information. Table B is for Principal Investigator (PI), Table C is for Co-Principal Investigator (Co-PI), and Table D is for other senior project personnel. Each table has columns for Name, Address, and Department.

Table B: List names as Last Name, First Name, Middle Initial, and provide organizational affiliations, if known, for the following:		
1	PI	Department
2	PI	Department
3	PI	Department

Table C: List names as Last Name, First Name, Middle Initial, and provide organizational affiliations, if known, for the following:		
1	Co-PI	Department
2	Co-PI	Department
3	Co-PI	Department

Table D: List editorial board, editor-in-chief and co-editors with whom you interact. An editor-in-chief should list the entire editorial board.		
1	Editor	Department
2	Editor	Department
3	Editor	Department

New Pilot Requiring the Use of a Template for Identifying Collaborators and Other Affiliations Information is Now in Effect

On April 24, 2017, NSF initiated a new pilot requiring the use of a template for identifying Collaborators and Other Affiliations (COA) information for Principal Investigators (PIs), Co-PIs, and other senior project personnel identified on proposals submitted in FastLane.

The NSF [Proposal and Awards Policies and Procedures Guide](#) (PAPPG) (NSF 17-1) requires PIs, Co-PIs, and other senior project personnel identified on NSF proposals to individually upload COA information as a Single Copy Document (see PAPPG Chapter II.C.1e).

Effective April 24, 2017, NSF requires the submission of a template to identify collaborators and other affiliations. Please note that the template is directly linked in FastLane. The template and associated instructions may also be accessed directly at: <https://www.nsf.gov/bfa/dias/policy/coa.jsp>

For more information please visit:

<https://www.fastlane.nsf.gov/fastlane.jsp?jsessionid=3f783157e2e350cdbe1b5a73411f:cpgS?t=0&idx=1>

NSF's Semiannual Report to Congress

To review NSF's Semiannual Report to Congress click here: https://www.nsf.gov/oig/_pdf/OIG_SAR_317.pdf





All About Grants Podcasts

The Office of Extramural Research (OER) talks to NIH staff members about the ins and outs of NIH funding. Designed for investigators, fellows, students, research administrators, and other just curious about the application and award process, we provide insights on grant topics from those who live and breathe the information. Episodes are available as mp3s for download [here](#), via iTunes, or via RSS feed.

Categories of topics covered:

- [Getting to Know NIH and the Grants Process](#) (9 topics)
- [Preparing a Successful Grant Application](#) (18 topics)
- [Advice for New and Early Career Scientists](#) (8 topics)
- [Submitting Your Application](#) (7 topics)
- [How NIH Grants are Reviewed](#) (8 topics)
- [Life As An NIH Grantee](#) (Post-Award Activities and Requirements) (15 topics)

If you don't want to listen to the MP3 format, transcripts of each topic are also available.

New Tutorials on Preparing and Submitting Your NIH Grant Application

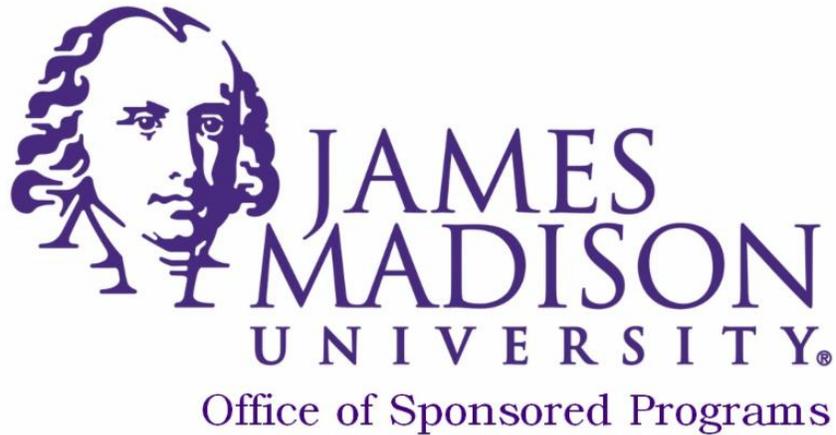


NIH has provided [four new interactive tutorials](#) on the basics of preparing, writing, and submitting your NIH application. Tutorials take between 4 to 11 minutes each to listen through, and you can easily jump ahead to chapters of interest, or navigate back to review something you've missed. These tutorials link to additional resources and transcripts.

-
- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)

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July 2017 Newsletter

In this Issue:

1. **Director's Note** - Office of Sponsored Programs' Recognition Reception
2. **Featured Funding Opportunity** - Madison Trust 2018
3. **NSF Updates** -
4. **NIH Updates**- Interactive Tutorials, Discover NIH's Research Interests & Next Generation Researchers



Director's Note



The Office of Sponsored Programs' Recognition Reception

Please join us and invited speakers in honoring all involved in the pursuit of external funding at James Madison University.

Friday, October 6, 2017
2:00pm - 4:00pm
Festival Ballroom

We will be presenting selected awards to faculty and staff for their accomplishments during fiscal year 2017 (June 01, 2016 - June 30, 2017) and throughout their entire career at JMU.

Hors d'oeuvres, desserts, and refreshments will be served.

Welcoming remarks from JMU's new Provost, Heather Coltman, will begin at 2:30pm,

followed by our faculty highlight speaker, Caroline Lubert from the Mathematics and Statistics Department, and award announcements.

Based on the survey feedback from last year, the formal program will be shortened to allow more time for guest mingling.

If you are unable to attend the event in its entirety, please feel free to come as you are able.

Please RSVP no later than October 2nd by emailing Megan Resch at reschmm@jmu.edu

We hope to see you there!

Please submit a photo and a short bio when you RSVP, to be displayed on our slideshow during the event.



Featured Funding Opportunity



Madison Trust 2018 Call for Proposals



Madison Trust is a dynamic, personal and rewarding experience that aims to foster JMU's enterprising spirit and results in potentially life-changing innovations. It was founded in hopes of supporting the inspiring innovations of our faculty and staff while creating a one-of-a-kind experience for our investor-judges.

Madison Trust 2018 call for proposals is now open. You can access the online submission process via <https://app.reviewr.com/site/MadisonTrust2018>.

When will Madison Trust take place on campus?

Friday, February 16, 2018

Do you need more information about Madison Trust?

We invite you attend a Madison Trust info session:

- Tuesday, Sept. 12th: 9:30-10:45AM: Festival, Allegheny Room
- Wednesday, Sept. 13th: 3:00-4:15pm; Madison Union 404

We'll provide a brief program overview, walk through the proposal process and answer questions. [Register now.](#)

For more information please visit our website: jmu.edu/madison-trust.



NSF Updates



Presentations from the most recent NSF Grants Conference are Available:

1. [Types of Funding Opportunities](#)
2. [Proposal Preparation](#)
3. [Merit Review Process](#)

NSF Outreach Materials Available

- [How to Prepare an NSF Proposal: The Good, the Bad and the Ugly - Spring 2017](#)
- **NSF Grants Conference** - The next NSF Grants Conference will be held November 13-14, 2017 in Phoenix, AZ. To receive information about the conference, visit the conference [website](#)
- [NSF Update - Spring 2017](#)
Topics • What's New • NSF Policy Update - Proposal & Award Policies & Procedures Guide (PAPPG) Significant Changes • Automated Proposal Compliance Checking • Proposal Submission Modernization • Collaborators & Other Affiliations Pilot • Research Terms & Conditions Update • Research Performance Progress Report Update • Key Award Information • Proposal & Award Policy Outreach • Q&A



NIH Updates



New Interactive Tutorials on Preparing and Submitting Your NIH Grant Application

New to the NIH grant process? Ever wish someone would explain and walk you through applying for NIH grants step by step? If so, we hope NIH's newest resource will be the next best thing to joining you for an in-person lesson.

Linked from NIH's [How to Apply](#) page, you will find [four new interactive tutorials](#) on the basics of preparing, writing, and submitting your application. Tutorials take between 4 to 11 minutes each to listen through, and you can easily jump ahead to chapters of interest, or navigate back to review something you've missed. These tutorials provide links to additional resources and transcripts.

NIH Getting to Know Federal Funders and their Research Interests

Learning what projects NIH supports, using a robust database of historical and newly-funded projects, provides researchers valuable insight as they consider developing their own research programs and applications for funding. [Click here for more information.](#)

NIH's New Next Generation Researchers Initiative

The National Institutes of Health has launched the [Next Generation Researchers](#)

[Initiative](#) to bolster support for early-stage and mid-career investigators to address longstanding challenges faced by researchers trying to embark upon and sustain independent research careers. The initiative, which will involve a reallocation to "free up substantial funds from NIH's base budget, beginning this year with about \$210 million, and ramping to approximately \$1.1 billion per year after five years," director Francis Collins announced June 8.

NIH will take a multi-pronged approach to increase the number of NIH-funded early-stage and mid-career investigators and stabilize the career trajectory of scientists by:

- Further extending the payline for early stage investigators, with an aim of funding most applications that score in the top 25 percent
- Providing additional support for mid-career investigators with 10 years or less as a principal investigator
 - *Extending the payline for those about to lose all NIH funding
 - *Identifying "rising stars" who are seeking support for their second RPG, but just missed the payline.

NIH will also place greater emphasis on current NIH funding programs aimed at early-stage and mid-career investigators, such as:

- [NIH Common Fund's New Innovator Awards](#)
- National Institute of General Medicine Sciences Maximizing Investigators' Research Award ([MIRA](#))

See also the page dedicated to [Early Research Career Development](#).

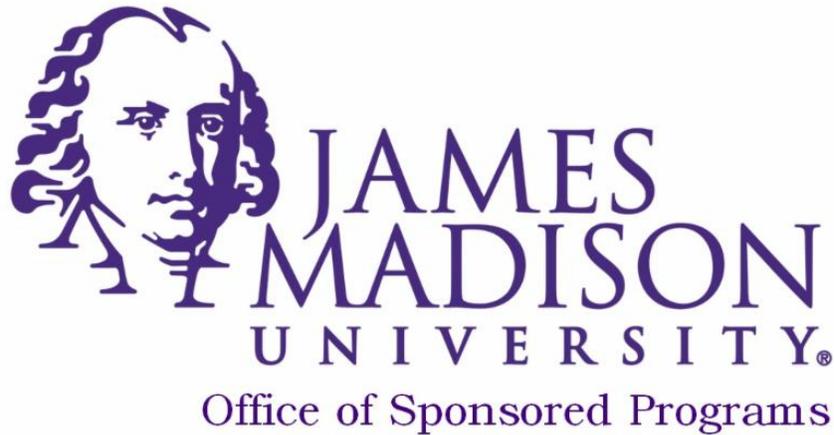
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Visit our website



August 2017 Newsletter

In this Issue:

1. **Director's Note** - Office of Sponsored Programs' Recognition Reception
2. **Featured Funding Opportunity** - For New and Young Investigators
3. **NSF Updates** - COA FAQs, Project Reporting & New Project Description Requirement
4. **Timely Topic**- Broader Impacts Statements Resources



Director's Note



The Office of Sponsored Programs' Recognition Reception

Please join us and invited speakers in honoring all involved in the pursuit of external funding at James Madison University.

**Friday, October 6, 2017
2:00pm - 4:00pm**

Festival Ballroom

We will be presenting selected awards to faculty and staff for their accomplishments during fiscal year 2017 (July 01, 2016 - June 30, 2017) and throughout their entire career at JMU.

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Welcoming remarks from JMU's new Provost, Heather Coltman, will begin at 2:30pm, followed by our faculty highlight speaker, Caroline Lubert from the Mathematics and Statistics Department, and award announcements.

Based on the survey feedback from last year, the formal program will be shortened to allow more time for guest mingling.

If you are unable to attend the event in its entirety, please feel free to come as you are able.

Please RSVP no later than October 2nd by emailing Megan Resch at reschmm@jmu.edu

We hope to see you there!

Please submit a photo and a short bio when you RSVP, to be displayed on our slideshow during the event.



Featured Funding Opportunity



Funding Opportunities for New and Young Investigators

UC Berkeley has compiled a comprehensive list of funding opportunities targeted at young and new investigators. It is organized by federal agency and also lists private sources of grants for this sector of faculty. Check out this resource [here](#).



NSF Updates



Collaborators and Other Affiliations Pilot - FAQs

This spring, NSF began piloting use of a spreadsheet template for submission of Collaborators and Other Affiliations (COA) information. While the draft PAPPG does implement this pilot activity, and the change will go into effect for proposals submitted or due beginning next year, NSF has been fielding a number of questions from the community. Additional information about the pilot program is available on NSF's website.

Click [here](#) to view NSF's recent newsletter to review the common questions from the community and NSF's responses on **page 4**.

Project Reporting Policies and Reminders



Project reporting is required for all awards made by NSF. These reports provide program and administrative offices with information on the progress of supported projects and how the funds are used in support of those projects.

Project reporting is not only essential to provide updates to NSF on the progress of NSF funded grants, failure to submit them in a timely manner can have wide-reaching effects on Principal Investigators (PI) and Co-Principal Investigators (Co-PIs). Consequences for an overdue project report includes no future funding for the PI and all associated Co-PIs. In addition, no administrative functions can be performed on awards that have overdue reports.

For more information on NSF's project reporting policies and reminders, view [NSF's recent newsletter](#) on **page 5**.

New Header Requirement in the Project Description

The draft PAPPG now specifies that the Project Description for proposals submitted to NSF must include a separate section within the narrative labeled "Intellectual Merit." This mirrors the current requirement to have a separate section labeled "Broader Impacts."



Timely Topic



Broader Impacts Statements Resources

Stumped on writing your NSF Broader Impacts Statement? As you may know, Broader Impacts along with Intellectual Merit are the two key components of any NSF proposal.

The National Science Foundation funded a grant in 2012 to establish the Broader Impacts Network (BIN) at the University of Missouri in response to the NSF's emphasis on the integration of education and outreach into research projects. The BIN's mission is to assist researchers in the development, implementation, and evaluation of high-quality broader impacts activities.

OSP staff watched an informative webinar with the BIN Director and gleaned this advice:

- BI is here to stay. NSF is putting more resources behind this and it is an important part of panel reviews. Other federal agencies will adopt.
- PI's must budget appropriately for the costs of a strong BI plan. BI plans must be Achievable, Substantive, Assessable (think evaluator), and Personal to be

successful.

- Of a 15 page project description, set aside "at least 2 pages" to cover your BI and evaluation plan and be specific.
- For a CAREER grant BI plans are crucial; set aside up to 5 pages.
- View funded proposals' summary pages to see what has been funded.

Here we offer you free resources available to assist you in creating your own Broader Impacts Plan:

- Broader Impacts videos, [click here](#).
- [BIN CAREER Guidesheet](#)
- [Create your BI Plan](#)
- [Evaluation of the BI Plan](#)
- [Broader Impacts Wizard](#)

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- [Funding Opportunities](#)
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 - [Sponsored Programs Accounting Directory](#)

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September 2017 Newsletter

In this Issue:

1. **Director's Note** - Office of Sponsored Programs' Recognition Reception
2. **Featured Funding Opportunities** - Limited Submission Upcoming Programs
3. **NSF Updates** - RCR Training Requirement, NSF Awards Success Rates for FY 2016 & Proposals to Support Issues Raised by Hurricane Harvey
4. **Training Opportunity** - Grant Proposal Development (TD1501)



Director's Note



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Please submit a photo and a short bio when you RSVP, to be displayed on our slideshow during the event.



Featured Funding Opportunities



Limited Submission Upcoming Programs

There are two upcoming limited submission funding opportunities. Proposals for these opportunities will have to go through a Limited Submission Program at JMU. To learn more about the JMU required forms and process for these proposal submissions please click [here](#).



Virginia Environmental Endowment

Deadline: December 1, 2017; Limited to 1 proposal per year.

Internal Deadline: October 20, 2017

The Virginia Environmental Endowment (VEE) awards grants to nonprofit, tax-exempt charitable organizations and institutions and to governmental agencies. VEE encourages requests for specific projects that promise measurable results to improve the environment and should align with the mission and priorities of the VEE. Applicants should describe specifically how they propose to measure the success of a grant project. Projects are required to have matching funds in amounts equal to or in excess of the grant request.

Click [here](#) to view the full announcement and required documentation.

Limited Submission Action Required: Those interested in submitting a VEE proposal should send an "VEE notice of interest" e-mail to to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **October 20** to inform us of interested parties. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.

NSF Major Research Instrumentation Program: (MRI) Instrument Acquisition or Development

Deadline: January 10, 2018; Limited to 2 acquisition proposals and 1 development proposal

Internal Deadline: November 28, 2017

The Major Research Instrumentation Program (MRI) serves to increase access to shared scientific and engineering instruments for research and research training in our Nation's institutions of higher education, not-for-profit museums, science centers and scientific/engineering research organizations. The program provides organizations with opportunities to acquire major instrumentation that supports the research and research training goals of the organization and that may be used by other researchers regionally or nationally.

Click [here](#) to view the full solicitation and required proposal materials.

Limited Submission Action Required: Those interested in submitting either an acquisition or development MRI grant application should send an "MRI notice of interest" e-mail to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **November 28** to inform us of interested parties.

- The body of the email should include the name of the Principal Investigator, and Co-Investigators, and whether the application is an acquisition or development grant application. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.



NSF Updates



Training in Responsible Conduct of Research - A Reminder of the NSF Requirement

The National Science Foundation (NSF) requires that each institution submitting a proposal certify that it has a plan to provide appropriate training and oversight in the ethical conduct of research to all undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research. The institutions are responsible for verifying that the training has been received.

NSF's Responsible Conduct of Research (RCR) requirement applies to the breadth of research disciplines the Foundation funds and the different educational levels of the students and post-doctoral researchers the agency supports. The training should be effective and appropriately tailored to the specific needs and circumstances at each university. Accordingly, it is the responsibility of each institution to determine both the focus and the delivery method for appropriate training.

Upon NSF award, all researchers whether they are students, staff or faculty will need to complete the online training described on the [Office of Research Integrity's webpage](#).

NSB Report Details NSF Awards, Success Rates for FY 2016



In fiscal year (FY) 2016, principal investigators (PIs) seeking "competitively reviewed" awards from the National Science Foundation had an overall 24% success rate, and the agency made 11,877 awards, numbers similar to FY 2015, according to the newly released annual report on NSF's peer review system that was submitted to the National Science Board (NSB). The highest success rate was for women, at 26%. In proposals where submitters included data on gender, "the proportion of research proposals with self-identified female PIs" was 27%.

"The success rate for proposals from White PIs was 24% while the rates for proposals from Asian PIs and Black/African-American PIs were 17% and 18%, respectively," the report also states. "The proportion of research proposals that came from Black/African-American PIs remained very low, only 2%. The proportion of research proposals from Asian PIs was 25% of the total. The success rate for the 1,602 research proposals from Hispanic or Latino PIs was 20%."

Link: <https://www.nsf.gov/nsb/publications/2017/nsb201726.pdf>



NSF Dear Colleague Letter for Research Related to Wide Range of Issues Raised by Hurricane Harvey

Dear Colleagues:

The National Science Foundation (NSF) has recently announced a new proposal supporting fundamental science and engineering research projects related to issues raised by Hurricane Harvey. Through a recent [Dear Colleague Letter](#) (DCL), NSF is soliciting for research proposals that allow the country to better prepare for, respond to, recover from, or mitigate future catastrophic events. Funds support projects that enhance comprehensive understanding of storm effects, including physical, biological and societal factors. NSF is also interested in research on emergency response methods, and methods to mitigate future damage.

The DCL supports three types of awards:

- Rapid Response Research (RAPID) focusing on projects with severe urgency with regard to availability of, or access to, data, facilities or specialized equipment, including quick-response research on natural disasters.
- Early-concept Grants for Exploratory Research (EAGER) supporting efforts to conduct fundamental research representing exploratory work in its early stages on untested, but potentially transformative, research ideas or approaches.
- Supplemental funding requests to existing awards, up to six months of additional support.

Proposals are accepted at any time. Before submitting, contact the NSF program officer most related to the project topic. The DCL includes a list of contacts from each NSF directorate who can help determine whether your project is appropriate for this effort. To find out more about the DCL mechanism, please see [this video](#) produced by the National Council of University Research Administrators (NCURA).

Richard N. Wellons
Program Manager, Grants Resource Center
American Association of State Colleges and Universities
1307 New York Ave NW, 5th Floor
Washington, D.C. 20005-4701
p. 202.478.4714
<http://www.aascu.org/grc/>
[Twitter](#)
[Facebook](#)



Training Opportunity



Grant Proposal Development (TD1501)



This workshop will provide an overview of the functions of JMU's Office of Sponsored Programs. The focus will be on how our personnel can best assist you with proposal development.

While there will be some discussion of the federal, state, and university regulations that govern proposal development, the emphasis will be on the service available to help you with the process of applying for an externally funded project from searching for appropriate funding sources, to projecting budgets, to completing agency forms to submitting the application to the sponsor. Time will be provided to address specific questions.

Presented by: Tamara Hatch, Interim Director, Sponsored Programs Pre-Award

Date & Time: Monday, October 16 from 2:00pm - 4:00pm

Location: [Wine-Price Building](#)

[Register For Professional Development Workshops Here](#)
[Unavailable During The Times Offered?](#)

-
- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)
-

Notice:

Business Hours: Monday - Friday, 8:00am - 5:00pm

Office of Sponsored Programs | James Madison University | 540-568-6872 | jmu_grants@jmu.edu |

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October 2017 Newsletter

In this Issue:

1. **Director's Note** - Office of Sponsored Programs' Recognition Reception
2. **Featured Funding Opportunities** - Limited Submission Upcoming Programs
3. **Training Opportunities** - Grant Proposal Development (TD1501) & Grants: Post-Award Practices & Procedures (TD1502)
4. **Sponsored Programs Accounting Reminder:** Summer Effort Reporting
5. **Compliance Corner:** Biosafety Month



Director's Note



The Office of Sponsored Programs' Second Recognition Reception 2017

The Office of Sponsored Programs (OSP) hosted our second Recognition Reception on October 6, 2017 to honor all faculty and staff involved in the pursuit of external funding during fiscal year 2017, (7/01/2016 - 6/30/2017). The program began with opening remarks from JMU's new Provost & Senior Vice President for Academic Affairs, Dr. Heather J. Coltman. Dr. Coltman provided her insight on the importance of research and scholarship at JMU and the positive effects that it has for our undergraduate students as well as the surrounding community. Next our faculty highlight speaker, Dr. Caroline P. Lubert from the Department of Mathematics and Statistics, shared her research with NASA and Orbital ATK to investigate the earth-shaking acoustical vibrations that occur when Orbital's Antares rockets are launched on their

mission to resupply the International Space Station.

Following these speakers, Tamara Hatch, Interim Director, and the Office of Research and Scholarship recognized Principal Investigators for an array of accomplishments. To see the full awards list please click [here](#).

To view the full program of the reception please click [here](#).

The Office of Sponsored Programs will strive to host a Recognition Reception each year to again acknowledge the hard work that is involved in the pursuit of external funding. Please look in your inboxes for a survey on our reception coming soon. Your feedback will help OSP refine the program for the coming year.

For a related article on OSP's Recognition Reception, view the [Grant Recipients Honored article](#), written by Eric Gorton from University Communications.



Featured Funding Opportunities



NOTE: Limited Submission Upcoming Program - NSF Major Research Instrumentation Program: (MRI) Instrument Acquisition or Development



In OSP's September newsletter we advertised the below NSF Major Research Instrumentation Program: (MRI) Instrument Acquisition or Development as institutionally available to submit 2 acquisition proposals and 1 development proposal. After some recent internal developments, the 2 acquisition proposal slots have been filled. If you have a development proposal you would like to submit for this program we will still accept your Limited Submission Form as intent to submit a full proposal before the January 10, 2018 deadline. If you have any questions or concerns please contact [Tamara Hatch](#).

NSF Major Research Instrumentation Program: (MRI) Instrument Acquisition or Development

Deadline: January 10, 2018; Limited to 1 development proposal

Internal Deadline: November 28, 2017

The Major Research Instrumentation Program (MRI) serves to increase access to shared scientific and engineering instruments for research and research training in our Nation's institutions of higher education, not-for-profit museums, science centers and scientific/engineering research organizations. The program provides organizations with opportunities to acquire major instrumentation that supports the research and research training goals of the organization and that may be used by other researchers regionally or nationally.

Click [here](#) to view the full solicitation and required proposal materials.

Limited Submission Action Required: Those interested in submitting a development MRI grant application should send an "MRI notice of interest" e-mail to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **November 28** to inform us of interested parties.

- The body of the email should include the name of the Principal Investigator, and Co-Investigators. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.

Other Limited Submission Upcoming Programs

There are two upcoming limited submission funding opportunities. Proposals for these opportunities will have to go through a Limited Submission Program at JMU. To learn more about the JMU required forms and process for these proposal submissions please click [here](#).

CRCF Matching Funds Program

Required Letter of Intent (LOI) Deadline: November 28, 2017 (noon)

Application Deadline: January 31, 2018 (noon)

NOTE: JMU may submit up to 4 LOIs/applications for up to \$100,000 each and receive up to 4 awards. A Principal Investigator may submit a single (1) application and receive one award, as part of an organizations total submissions.

Internal Deadline: November 10, 2017

The CRCF Matching Funds Program assists public and private institutions of higher education in the Commonwealth, federal laboratories operating in Virginia, university research consortia that include Virginia colleges and universities, and other nonprofit research institutions in Virginia with commercializing qualified research or technologies with high potential for economic benefit to Virginia. The program leverages federal, private, and non-Commonwealth funds designated for commercialization. Projects must be based upon sound scientific principles and present an opportunity for valid research, as well as offer significant potential for commercialization and economic benefits that accrue in the Commonwealth.

The CRCF programs support commercialization goals through technology development in Virginia. CRCF monies additionally may be used for market validation, intellectual property protection, and other activities directly tied to the project.

Click [here](#) to view the full announcement and required documentation.

Limited Submission Action Required: Those interested in submitting a CRCF Matching Funds Program proposal should send an "CRCF Matching Funds Program notice of interest" e-mail to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **November 10** to inform us of interested parties. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.

CRCF Eminent Researcher Recruitment Program

Required Letter of Intent (LOI) Deadline: November 28, 2017 (noon)

Application Deadline: January 31, 2018 (noon)

NOTE: JMU may submit up to 1 LOI/application for up to \$250,000 and receive 1 award.

Internal Deadline: November 10, 2017

The CRCF Eminent Researcher Recruitment Program assists public institutions of higher education in the Commonwealth acquire or enhance research superiority in qualified technologies by supporting the recruitment of a top researcher to the faculty of that institution. Projects must be based upon sound scientific principles and present an opportunity for valid research, as well as offer significant potential for commercialization and economic benefits that accrue in the Commonwealth.

CRCF funds may be used for such purposes as start-up package support, such as a one-time supplement to the researcher's base salary and/or the salary for a researcher(s) accompanying him or her, or for supplies, equipment, instruments, or upgrades to the aforementioned researcher's laboratory.

Click [here](#) to view the full announcement and required documentation.

Limited Submission Action Required: Those interested in submitting a CRCF Eminent Researcher Recruitment Program proposal should send an "CRCF Eminent Researcher Recruitment Program notice of interest" e-mail to to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **November 10** to inform us of interested parties. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.

Save the Date! October 19

SVTC Luncheon | JMU X-Labs tour | CRCF Funding Info Session

October's luncheon will explore JMU X-labs and student innovations. Attendees will also get an informative session on the Commonwealth Research Commercialization Fund (CRCF)

Time: 11:15 am - 1:00 pm

JMU Lakeview Hall

Commonwealth Research Commercialization Fund (CRCF)

CIT staff will introduce the CRCF, a multi-million dollar technology commercialization grant program that helps drive economic growth in Virginia. The team will discuss the FY2018 round, which includes programs available to higher ed, federal labs and other nonprofit research organizations, and the private sector.

Topics will include eligibility criteria and the solicitation timeline, technical content and budgets, and tips for creating strong proposals.

More info:

<http://www.cit.org/initiatives/crcf/>

Pre-registration is required by Oct 17: [Register Here](#)



Training Opportunities



Grant Proposal Development (TD1501)

This workshop will provide an overview of the functions of JMU's Office of Sponsored Programs. The focus will be on how our personnel can best assist you with proposal development. While there will be some discussion of the federal, state, and university regulations that govern proposal development, the emphasis will be on the service available to help you with the process of applying for an externally funded project from searching for appropriate funding sources, to projecting budgets, to completing agency forms to submitting the application to the sponsor. Time will be provided to address specific questions.



Presented by: Tamara Hatch, Interim Director, Sponsored Programs Pre-Award

Date & Time: Monday, October 16 from 2:00pm - 4:00pm

Location: [Wine-Price Building](#)

[Register For Professional Development Workshops Here](#)
[Unavailable During The Times Offered?](#)

Grants: Post-Award Practices & Procedures (TD1502)

This workshop is intended for individuals that expect to receive grant funding in the near future or will be expected to provide administrative oversight to a project. This 'post-award' overview will discuss accounting practices and procedures, documentation and record retention, re-budgeting and no-cost extension requests and close-out of a sponsored project. Several areas of compliance will also be discussed including Effort Reporting and Matching. Time will be provided to address specific questions.

Presented by: John Hulvey, Director of Sponsored Programs Administration and Accounting

Date & Time: Monday, November 13 from 2:00pm - 4:00pm

Location: Reflections, Wine Price 3001

[Register For Professional Development Workshops Here](#)
[Unavailable During The Times Offered?](#)



Sponsored Programs Accounting Reminder





Summer Effort Reporting

Sponsored Programs Accounting is in the process of preparing Effort Reports for the Summer Semester. Please make sure that all salary transfers on Sponsored Programs for the Summer Reporting Period (May 16 - August 15, 2017) have been completed and processed.



Compliance Corner



October: Biosafety Month

For the fourth consecutive year, the NIH Office of Science Policy (OSP) has designated October as National Biosafety Month. The purpose of National Biosafety Month is to encourage institutions to refocus efforts related to biosafety and to undertake activities aimed at strengthening biosafety programs. To learn more about National Biosafety Month and the activities that NIH's OSP has planned, please view the latest *"Under the Poliscope"* blog "[National Biosafety Month: The New Fall Classic](#)".



Also, during the month of October, NIH's OSP will be sending out biosafety-themed tweets from their [Twitter](#) with #NBM2017. We encourage stakeholders to continue the conversation on Twitter by using this hashtag.

If you have any questions or require further information about the NIH OSP blog or any of NIH OSP's activities, please contact them at SciencePolicy@od.nih.gov.

- [Funding Opportunities](#)
- [Sponsored Programs Administration Directory](#)
- [Sponsored Programs Accounting Directory](#)

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November 2017 Newsletter

In this Issue:

1. **Director's Note** - Grants.gov Workspace Transition
2. **Featured Funding Opportunity** - NSF MRI Program: Acquisition Proposals Accepted
3. **Announcement** - OSP Closures
4. **NSF Update** - Revised PAPPG (NSF 18-1)
5. **Training Opportunities** - Grants: Post-Award Practices & Procedures, NSF's Virtual Grant Conference & VCA Application Assistance Workshops



Director's Note



Grants.gov Workspace Transition

Starting in January, all Grants.gov applications must be submitted using Workspace, an online, web-based application, rather than the legacy PDF application package. Principal Investigators (PIs) will now have the option to work concurrently with the

Office of Sponsored Programs (OSP) to complete Grants.gov application forms in Workspace. Below are some benefits of this option:

- The PI will see the application in real time.
- The PI can validate the application for errors.
- The PI can reuse previous Workspace forms. Updates to a reused form do not retroactively alter or change the original form.



- The PI can preview the complete application in a single window. Please note that grants.gov is still working on a feature that will include not just the forms but the uploaded attachments in the preview.

If the PI prefers, they will still have the option to work with the OSP through email on the application instead of becoming a Workspace user. The OSP can download and email individual forms to the PI to complete. Once the forms are filled out the PI can provide the completed materials to the OSP to upload into Workspace. For additional information about Workspace, please see the following URL:

<https://www.grants.gov/web/grants/applicants/workspace-overview.html>

If interested, the following are instructions on how a JMU employee can register as a user in Grants.gov Workspace:

1. Go to the following URL: <https://www.grants.gov/web/grants/register.html>
2. Select 'Register as an Organization Application'
3. Enter JMU's DUNS number in the space indicated - **879325355**
4. Select the 'Register' button
5. Provide information requested in the 'Organization Applicant Registration' form
6. Click the 'Continue' button to receive a confirmation of the registration

Once registered, your Workspace role will be assigned and approved by OSP and you will receive an email indicating that this is complete.

If you have questions about Workspace, please don't hesitate to contact the OSP at jmu_grants@jmu.edu.



Featured Funding Opportunity



NOTE: Limited Submission Upcoming Program - NSF Major Research Instrumentation (MRI) Program: Acquisition Proposals WILL be Accepted by OSP

In OSP's October newsletter we advertised that the 2 acquisition proposal application slots have already been filled and we will not be able to accept additional Limited Submission Forms from interested parties. After further recent internal developments, the OSP will now be able to accept Limited Submission Forms for the acquisition proposal application from all interested parties. We apologize for any confusion, please contact [Tamara Hatch](#) if you have any questions.

NSF Major Research Instrumentation Program: (MRI) Instrument Acquisition or Development

Deadline: January 10, 2018; Limited to 2 acquisition proposals and 1 development proposal

The Major Research Instrumentation Program (MRI) serves to increase access to shared scientific and engineering instruments for research and research training in our Nation's institutions of higher education, not-for-profit museums, science centers and scientific/engineering research organizations. The program provides organizations with opportunities to acquire major instrumentation that supports the research and research training goals of the organization and that may be used by other researchers regionally or nationally.

Click [here](#) to view the full solicitation and required proposal materials.

Limited Submission Action Required: Those interested in submitting a MRI grant application should send an "MRI notice of interest" e-mail to jmu_grants@jmu.edu along with a [Limited Submission Form](#) by **November 28** to inform us of interested parties.

- The body of the email should include the name of the Principal Investigator, and Co-Investigators, and whether the application is an acquisition or development grant application. If necessary we will run a Limited Submission competition internally to identify the most beneficial projects to move forward.



Announcement



The Office of Sponsored Programs' Closures

The Office of Sponsored Programs will be closed during Thanksgiving Break, **November 20-24** and will also be closed **November 27-28** for departmental training. If you have an upcoming funding opportunity with a deadline that falls during the week of Thanksgiving or the following week (Nov. 27-Dec. 1), please contact the Office of Sponsored Programs **NOW** to obtain assistance. November deadlines are much closer than they appear when factoring in university and office closures!



NSF Update



Issuance of a Revised Proposal and Award Policies and Procedures Guide (NSF 18-1)

NSF is pleased to announce that a revised version of the NSF [Proposal & Award Policies & Procedures Guide](#) (PAPPG), (NSF 18-1) has been issued.

The new PAPPG will be effective for **proposals submitted, or due, on or after January 29, 2018**. Significant changes include:

- Addition of a new eligibility subcategory on international branch campuses of U.S. Institutions of Higher Education;
- Revision of eligibility standards for foreign organizations;
- Implementation of the standard Collaborators and Other Affiliations (COA) template that has been in pilot phase since April;
- Increase in the Budget Justification page limitation from three pages to five pages;
- Restructuring the coverage on grantee notifications to and requests for approval from NSF, including referral to the [Prior Approval Matrix](#) available on the NSF website; and
- Numerous clarifications and other changes throughout the document.

We encourage you to review the by-chapter summary of changes provided in the Introduction section of the PAPPG.

A webinar to brief the community on the new PAPPG will be held on **December 8 at 2 PM EST**. Sign up to be notified when registration is available on NSF's [outreach notifications website](#), by selecting "All NSF Grants and Policy Outreach Events & Notifications."

While this version of the PAPPG becomes effective on January 29, 2018, in the interim, the guidelines contained in the current PAPPG (17-1) continue to apply. NSF will ensure that the current version of the PAPPG remains on the NSF website, with a notation to proposers that specifies when the new PAPPG (including a link to the new Guide) will become effective.

If you have any questions regarding these changes, please contact NSF's Policy Office on (703) 292-8243 or by email to policy@nsf.gov.



Training Opportunities



Grants: Post-Award Practices & Procedures (TD1502)

This workshop is intended for individuals that expect to receive grant funding in the near future or will be expected to provide administrative oversight to a project. This 'post-award' overview will discuss accounting practices and procedures, documentation and record retention, re-budgeting and no-cost extension requests and close-out of a sponsored project. Several areas of compliance will also be discussed including Effort Reporting and Matching. Time will be provided to address specific questions.



Presented by: John Hulvey, Director of Sponsored Programs Administration and Accounting

Date & Time: Monday, November 13 from 2:00pm - 4:00pm

[Register For Professional Development Workshops Here](#)
[Unavailable During The Times Offered?](#)



Fall 2017 NSF Virtual Grants Conference

Experience the Fall 2017 NSF Grants Conference virtually! The National Science Foundation will live stream the plenary sessions of the conference from Phoenix, Arizona on **November 13th and 14th**. There is no cost or limit for participants to view the live stream.

Sessions available to view live stream include types of NSF funding opportunities, proposal preparation, NSF's merit review process, information on NSF's Faculty Early Career Development (CAREER) Program, and more. Click [here](#) to view the full agenda.

For more details and to register click [here](#).

Virginia Commission for the Arts Presents Statewide Application Assistance Workshops

The Virginia Commission for the Arts (VCA) will present a series of statewide application workshops to be held in November and December 2017. Workshop locations include: Fredericksburg, Richmond, Arlington, Newport News, Emory, Lynchburg, and **Harrisonburg**.



VCA staff will provide an overview of grant programs, including information about the new [Online Grants Application System](#) as well as updates to the Guidelines for Funding, application and review procedures, and general tips on preparing competitive proposals in preparation for the March and April 2018 application deadlines.

Participants are encouraged to read the Online Guidelines for Funding and preview the Online Application Webinar and Tutorials here: <http://www.arts.virginia.gov/grants.html>.

Workshops are free and open to the public; pre-registration is not required. The Harrisonburg VCA Application Assistance Workshop will be held in the following location:

Area 3 Harrisonburg

Thursday, December 14, 2017; noon - 2pm

The Ice House, Meeting Room 117

127 West Bruce Street, Harrisonburg, VA 22807

540-568-4696; Parking in the Bruce Street garage, one half block from the Ice House

If you are unable to attend the Harrisonburg area workshop, other locations and times are being offered by VCA. Contact the Office of Sponsored Programs for more details.

If you have questions regarding this workshop opportunity please contact Cathy Welborn, Program Coordinator with VCA, at 804-225-3132; catherine.welborn@arts.virginia.gov

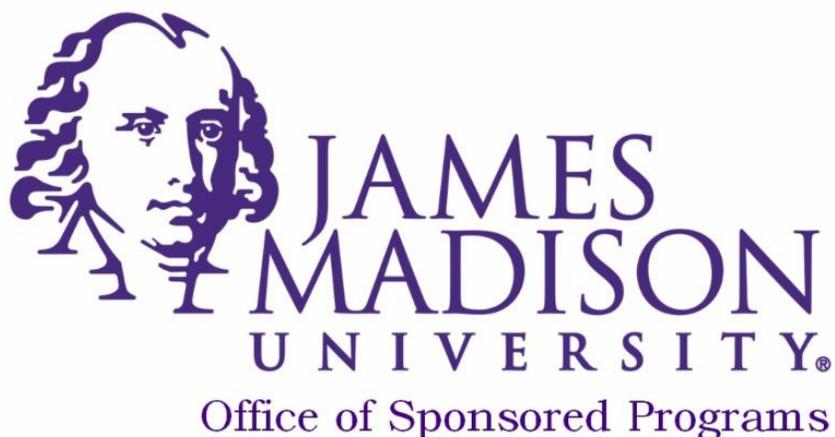
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December 2017 Newsletter

In this Issue:

1. **Director's Note** - January Deadlines & Year-End Transactions
2. **Featured Funding Opportunity** - NIST SURF Program
3. **Helpful Tools** - NIH Matchmaker & Routing Form
4. **NSF Update** - New Deadline for MRI Program, New PAPPG & Preliminary Proposal Deadlines
5. **NIH Update** - Multiple Accounts in eRA Commons & Project Outcome Reports
6. **Compliance Corner** - ORI's New Location, Meet & Greet & CFI Symposium
7. **Training Opportunity** - VCA Application Assistance Workshops



Director's Reminders



CAUTION: January Deadlines are Closer than they Appear!

With the winter break quickly approaching, there is very little time to work on funding proposals before the university reopens January 3. Please contact us **NOW** about any proposals due next month! January is a very busy month for deadlines. Factor in limited staffing in the Office of Sponsored Programs AND the potential for inclement weather and we may encounter a regrettable situation where your deadline is missed. We will be adhering to the **FIVE BUSINESS DAY RULE** for receipt of final approved materials so that we can ensure that all proposals are complete, compliant, and submitted on time. Plan to submit all final materials to the Office of Sponsored Programs at least 5 business days prior to the sponsor's published deadline. This will ensure that we have resources



available to complete your proposal and provide you the best opportunity for a winning application.



Make Year-End Transactions on your Grants Now

Sponsored Programs Accounting reminds you to submit ATVs, PARS and Expenditure documents **NOW** so they will be recorded in December. This will help to avoid misinformation related to the fall effort reporting cycle.



Featured Funding Opportunity



NIST Summer Undergraduate Research Fellowship (SURF) Program

Internal Deadline: February 5, 2018

Receipt Date at NIST: February 12, 2018

NIST
National Institute of Standards and Technology
U.S. Department of Commerce

If you have students interested in NIST SURF please refer them to our office for assistance at 568-6872 or jmu_grants@jmu.edu. **The Office of Sponsored Programs strongly encourages for faculty to pass this information along to students BEFORE winter break to avoid delays.**

Each summer NIST sponsors have an 11-week summer internship program for undergraduate students majoring in chemistry, computer science, engineering, materials science, fire research, nanotechnology, information technology, mathematics, biology, manufacturing, statistics, or other STEM disciplines. SURF students have the opportunity to gain valuable, hands-on experience, working with cutting edge technology in one of the world's leading research organizations.

The program provides students with hands-on research experience under the mentorship of a NIST scientist or engineer in Boulder, CO or Gaithersburg, MD. Students can work on projects in any one of the seven NIST facilities:

- Center for Nanoscale Science and Technology
- Communications Technology Laboratory
- Engineering Laboratory
- Information Technology Laboratory
- Material Measurement Laboratory
- NIST Center for Neutron Research
- Physical Measurement Laboratory

Applications for participation in the SURF program are only accepted from colleges or

universities, and **not from individual students**. All applications are required to be submitted to NIST as a group by the university.

Please check the SURF website (<https://www.nist.gov/surf>) for a checklist of items required for application.

IMPORTANT: All student application materials must be received in our office by February 5 to ensure submission.



Helpful Tools



NIH Powerful Search Tool Available

[NIH Matchmaker](#) can be used to conduct keyword searches of a particular topic area to identify the institute or center that have previously funded research in that area. Enter abstracts or other scientific text in a form and Matchmaker will return a list of 100 similar projects from RePORTER. These matches are based on the terms and concepts used in the submitted text. Up to 15,000 characters are permitted. The search returns the institute or center that funds similar work, the funding mechanism that is most applicable, and the study section that reviews proposals as well as a list of funded projects by recipient, and amount and includes the abstract.

New Proposal Routing Form

OSP's new Proposal Routing Form has been developed to accompany the Internal Approval Form (IAF) and proposal materials during the signature approval process. Principal Investigators can now use this form as a cover page to circulate the IAF and proposal materials for signature authorization. This optional form provides instructions on how to route the proposal for signatures, a list of approvers, deadlines, and the required proposal materials. To access this form please click on 'Proposal Routing Form' from the [OSP Forms, Tools, and Resources](#) webpage or [click here](#).



NSF Update



NEW Major Research Instrumentation (MRI) Program Solicitation 18-513 has been Published

Please note that NSF's MRI deadline has been updated to **February**



5, 2018.

To view the full solicitation please [click here](#).

James Madison University is only eligible to submit 2 acquisition proposals and 1 development proposal. The 2 acquisition proposal slots have been filled. If you are interested in submitting a development proposal please contact the OSP IMMEDIATELY.

Issuance of a Revised Proposal and Award Policies and Procedures Guide (NSF 18-1)

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If you have any questions regarding these changes, please contact NSF's Policy Office on (703) 292-8243 or by email to policy@nsf.gov.

Upcoming NSF Preliminary Proposal Deadlines

January 19, 2018

Division of Integrative Organismal Systems Core Programs
(IOS), NSF 17-508
[https://www.nsf.gov/publications/pub_summ.jsp?
ods_key=nsf17508](https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf17508)



January 23, 2018

Division of Environmental Biology (core programs) (DEB), NSF 17-512
[https://www.nsf.gov/publications/pub_summ.jsp?
WT.z_pims_id=503634&ods_key=nsf17512](https://www.nsf.gov/publications/pub_summ.jsp?WT.z_pims_id=503634&ods_key=nsf17512)

January 23, 2018

Long Term Research in Environmental Biology (LTREB), NSF 17-513
[https://www.nsf.gov/publications/pub_summ.jsp?
WT.z_pims_id=13544&ods_key=nsf17513](https://www.nsf.gov/publications/pub_summ.jsp?WT.z_pims_id=13544&ods_key=nsf17513)

For the above deadlines, the Office of Sponsored Programs (OSP) does not require principal investigators to obtain approvals via the Internal Approval Form as per usual procedure because there is no budgetary information to be disclosed at the pre-proposal stage. However, our office will stand by to check items in FastLane for you and to ultimately submit the pre-proposal to meet the deadline. Please plan to provide the OSP with SRO access to view, edit, and submit the completed preliminary proposal at least five (5) business days before the deadline.



NIH Update



Reminder: Beware of Multiple Accounts in eRA Commons

PI's should should have only one eRA Commons account that follows them throughout their research career. But along the way, a person sometimes gets a second or even a third eRA Commons account created for them. Whether you are a Signing Official (SO), Account Administrator (AA), or a Principal Investigator (PI), know and understand the process for [creating new accounts](#) and [affiliation existing accounts](#) when a PI [switches institutions](#). **Scientist should never have a new account created for them if one already exists.**

There are a number of reasons it is important not to have duplicate accounts. NIH needs to accurate information to track the careers of NIH funded researchers; it helps in the proper association of committee service for a reviewer to determine Continuous Submission status; and it keeps your grant record history together instead of being split across multiple accounts.

eRA has developed a solution! NIH sent targeted emails to a sampling of PIs to whom have duplicate accounts from their records and will be sending more in the next two weeks. These PIs will be instructed to go to a new *Account Verification* screen in Commons. It is located under the Admin tab, which has sub tabs of Accounts,

Delegations, and now Account Verification. If a PI has been identified as a person who has multiple accounts, the PI will see the accounts listed on this screen. The PI then will need to confirm that the accounts do belong to him or her, and then select the account that they prefer to be their permanent account. The Data Quality folks will then collapse or converge the data from the multiple accounts to the one PI specified as preferred.

Those who have current committee or grant involvement are required to select that Commons account as preferred. Here are the [instructions for accessing the account verification page and processes to identify preferred and duplicate accounts](#). **Do not act on these until you get an email from eRA communications urging you to do so.**

Project Outcomes Reports - Use Plain Language

In the Project Outcomes section of the Final and Interim Research Performance Progress Reports (F-RPPR and I-RPPR), there is a new section, Section I - **Outcomes**. The Outcomes section will be made publicly available through the NIH [RePORTER](#) website. The objective is to provide the public with easily understood results for NIH-funded biomedical research, thus improving the transparency about the use of federal funds.

NIH will only publish project outcomes after they've been reviewed and approved by NIH staff. If a project outcome is in biomedical expert-speak, shares proprietary information, or includes Personally Identifiable Information (PII), a grantee will be asked by NIH staff to submit revised project outcomes using the Additional Material functionality for the Final and Interim RPPR (i.e., Final Report Additional Materials (FRAM) for Final RPPR, IRAM for Interim RPPR). Grantees will respond to these requests using an enhanced web form that supports NIH's ability to make this information public.

Submitting a revised outcomes statement is accomplished by using **only** the Project Outcomes section on the request for Additional Material web form. This text box is **NOT** for general comments or communication. Revised outcome statements will not be accepted if they are uploaded as an attachment.



Compliance Corner



The Office of Research Integrity has Moved!

They are now located on the first floor of Burruss Hall.
ORI's contact information:

James Madison University
Office of Research Integrity
820 Madison Drive
Burruss Hall, First Floor, Room # 109
MSC 5738
Harrisonburg, VA 22807



**ORI is now located in
Burruss Hall, Room # 109**

Faculty Animal Researchers Meet & Greet

The Office of Research Integrity (ORI) is hosting a Meet and Greet for all current faculty animal researchers to meet with colleagues working in other vivarium on campus. This is a chance to share ideas and potentially collaborate. The Meet and Greet will be held on **Wednesday, December 06, 12-2 pm in ISAT 259 (the nTelos Room)**. ORI looks forward to this opportunity to bring the JMU animal researcher together.



IRB Tips, Tricks, and Strategies

Members of the IRB will be hosting a Roundtable at the **CFI Symposium on January 4, 2018**, entitled, "IRB Tips, Tricks, and Strategies." Completing an IRB application can be daunting for even the most seasoned researcher. Participants will receive tips and tricks for completing IRB forms as well as as strategies for preparing for IRB full board meeting reviews. A variety of IRB members, including the current Chair of the committee and administrative staff who have first contact with applications, will offer diverse viewpoints on characteristics of successful applications as well as common omissions or issues that often complicate or hold up a research project. The panel will speak for the first half of the roundtable and open the remaining time to answer questions and comments from participants. Faculty participants will make progress toward avoiding common mistakes and issues that might delay IRB application approval, gaining confidence in working with the JMU Institutional Review Board (IRB), and learning strategies for completing successful IRB applications.

For more information and to register for the session please visit:

<https://www.jmu.edu/januarysymposium/js-session-descriptions.shtml#irb>



Training Opportunity



Virginia Commission for the Arts Presents Statewide Application Assistance Workshops

The Virginia Commission for the Arts (VCA) will present a series of statewide application workshops to be held in November and December 2017. Workshop locations include: Fredericksburg, Richmond, Arlington, Newport News, Emory, Lynchburg, and **Harrisonburg**.



VCA staff will provide an overview of grant programs, including information about the new [Online Grants Application System](#) as well as updates to the Guidelines for Funding,

application and review procedures, and general tips on preparing competitive proposals in preparation for the March and April 2018 application deadlines.

Participants are encouraged to read the Online Guidelines for Funding and preview the Online Application Webinar and Tutorials here: <http://www.arts.virginia.gov/grants.html>.

Workshops are free and open to the public; pre-registration is not required. The Harrisonburg VCA Application Assistance Workshop will be held in the following location:

Area 3 Harrisonburg

Thursday, December 14, 2017; noon - 2pm

The Ice House, Meeting Room 117

127 West Bruce Street, Harrisonburg, VA 22807

540-568-4696; Parking in the Bruce Street garage, one half block from the Ice House

If you are unable to attend the Harrisonburg area workshop, other locations and times are being offered by VCA. Contact the Office of Sponsored Programs for more details.

If you have questions regarding this workshop opportunity please contact Cathy Welborn, Program Coordinator with VCA, at 804-225-3132; catherine.welborn@arts.virginia.gov

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- [Funding Opportunities](#)
 - [Sponsored Programs Administration Directory](#)
 - [Sponsored Programs Accounting Directory](#)

Notice:

Business Hours: Monday - Friday, 8:00am - 5:00pm

Office of Sponsored Programs | James Madison University | 540-568-6872 | jmu_grants@jmu.edu |

Visit our website